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# A note on invariant Hilbert spaces of holomorphic functions on the unit ball in $\mathbb{C}^d$

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## 1 Introduction

Invariant Hilbert spaces of holomorphic functions on bounded symmetric domains have been extensively studied[Ara]. The study is motivated by the unitary representation of the automorphism group of the bounded symmetric domains.

Let  $\Omega$  be a bounded symmetric domain, and  $\text{Aut}(\Omega)$  denote the automorphism group of  $\Omega$ . Let  $G$  denote the connected component of the identity in  $\text{Aut}(\Omega)$ . Then  $G$  can be naturally represented on the Bergman space  $L_a^2(\Omega)$ , the representation map  $\pi$  is defined by

$$\pi(\varphi)f = f \circ \varphi \cdot J\varphi, \quad f \in L_a^2(\Omega), \quad \varphi \in G,$$

where  $J\varphi$  is the complex Jacobian of  $\varphi$ . Moreover, this representation is unitary, that is, for any  $\varphi \in G$ , the operator  $\pi(\varphi)$  is unitary. For natural Hilbert space  $H$  of holomorphic functions on  $\Omega$ , the similar action of  $G$  on  $H$  can also be defined. J. Arazy[Ara] shows that, with some mild assumptions, the only Hilbert space which makes  $\pi$  be a unitary representation is the Bergman space. Of course, J. Arazy deals with a more complicated case. For detailed information, one can refer to [Ara].

In this note, we will mainly concern Hilbert spaces of holomorphic functions on the unit ball  $\mathbb{B}_d$  in  $\mathbb{C}^d$ . In this case, the automorphism group  $\text{Aut}(\mathbb{B}_d)$

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can be written precisely. In fact, by [Ru, Theorem 2.2.5],  $\text{Aut}(\mathbb{B}_d)$  is generated by the unitary group  $\mathcal{U}_d$  of  $\mathbb{C}^d$  and  $\{\varphi_\lambda \mid \lambda \in \mathbb{B}_d\}$ , where, for any  $\lambda \in \mathbb{B}_d$ ,  $\varphi_\lambda$  is defined as follows. If  $\lambda = 0$ ,  $\varphi_\lambda(z) = -z$ . If  $\lambda \neq 0$ ,

$$\varphi_\lambda = \frac{\lambda - P_\lambda z - \sqrt{1 - |\lambda|^2} P_\lambda^\perp z}{1 - \langle z, \lambda \rangle}, \quad (1.1)$$

where  $P_\lambda$  is the orthogonal projection from  $\mathbb{C}^d$  onto the complex line  $[\lambda]$  spanned in  $\mathbb{C}^d$  by  $\lambda$ , and  $P_\lambda^\perp = I - P_\lambda$ . Therefore, one can only consider the automorphism with the expression (1.1). We rewrite the above representation  $\pi(\varphi_\lambda)$  as  $U_\lambda$  in short, that is

$$U_\lambda f = f \circ \varphi_\lambda \cdot J\varphi_\lambda.$$

After some calculation, it is not difficult to see that the complex Jacobian  $J\varphi_\lambda = (-1)^d \frac{(1 - |\lambda|^2)^{\frac{d+1}{2}}}{(1 - \langle z, \lambda \rangle)^{d+1}}$  is just the normalized Bergman kernel on  $\mathbb{B}_d$  multiplied by  $(-1)^d$ .

For many interesting unitary invariant reproducing Hilbert space  $H$  on  $\mathbb{B}_d$ , one can define the similar action by  $V_\lambda f = f \circ \varphi_\lambda \cdot k_\lambda$ , where  $k_\lambda$  is the normalized reproducing kernel of  $H$ . So, the question is, when  $V_\lambda$  is unitary? In other word, to ensure that  $V_\lambda$  is unitary, the complex Jacobian  $J\varphi_\lambda$  can be replaced to what kind of 'good' functions.

In this note, with some mild assumptions, we will prove that if  $V_\lambda$  is unitary, then there is a positive number  $\mu$ , such that  $k_\lambda = ((-1)^d J\varphi_\lambda)^\mu$ .

We organize this note as follows. In section 2, we will introduce some notations of unitary invariant reproducing kernel. In section 3, we prove the main theorem.

## 2 Preliminaries

From a general theory of reproducing kernels [Aro], one sees that a reproducing function space is uniquely determined by its kernel. In this paper, we will mainly concern unitary invariant reproducing function space of holomorphic functions on  $\mathbb{B}_d$ . A reproducing function space is called unitary invariant, if for any unitary operator  $U$  on  $\mathbb{C}^d$ ,  $f \circ U \in H$  whenever  $f \in H$ , and for all  $f, g \in H$ ,

$$\langle f \circ U, g \circ U \rangle = \langle f, g \rangle.$$

By [GHX],  $H$  is unitary invariant if and only if for any unitary operator  $U$  on  $\mathbb{C}^d$

$$K_{U\lambda}(Uz) = K_\lambda(z);$$

and this holds if and only if there is a holomorphic function on the unit disk

$$f(z) = \sum_{n=1}^{\infty} a_n z^n \text{ with } a_n \geq 0, \text{ such that}$$

$$K_\lambda(z) = f(\langle z, \lambda \rangle).$$

Without loss of generality, we will consider the case that all the  $a_n > 0$ , and  $a_0 = 1$ . Hence, by [GHX, Proposition 4.1],  $H$  has a canonical orthonormal basis  $\{[a_{|\alpha|} \frac{|\alpha|!}{\alpha!}]^{1/2} z^\alpha\}$ , and  $\|z^\alpha\| = [\frac{\alpha!}{a_{|\alpha|} |\alpha|!}]^{\frac{1}{2}}$ . Particularly,  $\|1\| = 1$ .

**Example.** Let  $H_\mu^2(\mathbb{B}_d)$  be the reproducing function space defined by the reproducing kernel  $K_\lambda^{(\mu)} = \frac{1}{(1-\langle z, \lambda \rangle)^\mu}$  ( $\mu > 0$ ). It is easy to verify that  $H_\mu^2(\mathbb{B}_d)$  is unitary invariant. When  $\mu = 1$ ,  $H_\mu^2(\mathbb{B}_d)$  is the symmetric Fock space  $H_d^2$ , which is deeply studied by W. Arveson[Arv]. When  $\mu = d$ ,  $H_\mu^2(\mathbb{B}_d)$  is the Hardy space  $H^2(\mathbb{B}_d)$ . When  $\mu > d$ ,  $H_\mu^2(\mathbb{B}_d)$  is the weighted Bergman space  $L_a^2[(1-|z|^2)^{\mu-d-1} dV]$ , and in particular  $H_{d+1}^2(\mathbb{B}_d)$  is the usual Bergman space.

By [Guo, Section 4], for a given  $\mu > 0$ , the operator

$$V_\lambda f = f \circ \varphi_\lambda \cdot \frac{(1-|\lambda|^2)^{\frac{\mu}{2}}}{(1-\langle \cdot, \lambda \rangle)^\mu}$$

is a unitary operator on  $H_\mu^2(\mathbb{B}_d)$  (For the case  $\mu = 1$ , this is also proved by D. Greene[Gr, Theorem 3.3]). Notice that  $\frac{(1-|\lambda|^2)^{\frac{\mu}{2}}}{(1-\langle \cdot, \lambda \rangle)^\mu}$  is the normalized reproducing kernel of  $H_\mu^2(\mathbb{B}_d)$ .

### 3 The proof of the main theorem

In this section, we will prove the main theorem. As in Section 2, let  $H$  be a unitary invariant reproducing functions space with the reproducing kernel  $K_\lambda$ . For any  $\lambda \in \mathbb{B}_d$ , define an operator  $V_\lambda$  on  $H$  by  $V_\lambda f = f \circ \varphi_\lambda \cdot k_\lambda$ , where  $k_\lambda$  is the normalized reproducing kernel. We have the following theorem.

**Theorem 3.1.** *With the above notations, if  $V_\lambda$  is a unitary operator on  $H$ , then there is a positive number  $\mu$  such that,*

$$k_\lambda = \frac{(1 - |\lambda|^2)^{\frac{\mu}{2}}}{(1 - \langle \cdot, \lambda \rangle)^\mu}.$$

**Proof.** Below, we will prove that if  $V_\lambda$  is unitary, then the reproducing kernel  $K_\lambda = \sum_{n=0}^{\infty} a_n \langle z, \lambda \rangle^n$  is uniquely determined by  $a_1$ , that is,

**Claim.** For  $n > 1$ , each  $a_n$  can be uniquely expressed by  $a_1$ .

We will prove the claim by induction.

At first, we will calculate  $a_2$ . Taking  $\lambda = (r, 0, \dots, 0)$ , we simply write  $\varphi_\lambda = \varphi_r$  and  $k_\lambda = k_r$ . Since  $z_1 = z_1 \circ \varphi_r \circ \varphi_r$ , we have

$$\|z_1 k_r\|^2 = \|z_1 \circ \varphi_r\|^2 \quad (3.1)$$

We first calculate the left side of (1). By [GHX, Proposition 4.1],  $\|z_1^n\|^2 = \frac{1}{a_n}$ , and  $\langle z_1^n, z_1^m \rangle = 0$  whenever  $n \neq m$ .

$$\|z_1 k_r(z)\|^2 = \frac{\left\| \sum_{n=0}^{\infty} a_n r^n z_1^{n+1} \right\|^2}{\sum_{n=0}^{\infty} a_n r^{2n}} = \frac{\sum_{n=0}^{\infty} a_n^2 r^{2n} \|z_1^{n+1}\|^2}{\sum_{n=0}^{\infty} a_n r^{2n}} = \frac{\sum_{n=0}^{\infty} \frac{a_n^2}{a_{n+1}} r^{2n}}{\sum_{n=0}^{\infty} a_n r^{2n}}.$$

And now we calculate the right side of (3.1),

$$\begin{aligned} \|z_1 \circ \varphi_r\|^2 &= \left\| (r - z_1) \sum_{n=0}^{\infty} (r z_1)^n \right\|^2 \\ &= \left\| \sum_{n=0}^{\infty} (r^{n+1} z_1^n - r^n z_1^{n+1}) \right\|^2 \\ &= \left\| r + \sum_{n=1}^{\infty} (r^{n+1} - r^{n-1}) z_1^n \right\|^2 \\ &= r^2 + \sum_{n=1}^{\infty} \frac{r^{2n-2} (r^4 - 2r^2 + 1)}{a_n}. \end{aligned}$$

Hence

$$\sum_{n=0}^{\infty} \frac{a_n^2}{a_{n+1}} r^{2n} = \left( \sum_{m=0}^{\infty} a_m r^{2m} \right) \left( r^2 + \sum_{n=1}^{\infty} \frac{r^{2n-2}(r^4-2r^2+1)}{a_n} \right). \quad (3.2)$$

Comparing the coefficients of  $r^2$  in both sides of (3.2) first, we have

$$\frac{a_1^2}{a_2} = 1 - \frac{2}{a_1} + \frac{1}{a_2} + \frac{a_1}{a_1}.$$

Therefore, when  $a_1 \neq 1$ ,

$$a_2 = \frac{a_1(a_1+1)}{2}. \quad (3.3)$$

When  $a_1 = 1$ , to determine  $a_2$ , we compare the coefficient of  $r^4$  in both sides of (3.2). After some simple computation, we have

$$\frac{a_2^2}{a_3} = \frac{1}{a_3} - \frac{1}{a_2} + a_2. \quad (3.4)$$

We also need the following equation.

$$\|z_1^2 \circ \varphi_r \cdot k_r\|^2 = \|z_1^2\|^2 = \frac{1}{a_2}.$$

Thus,

$$\|z_1^2 \circ \varphi_r \cdot K_r\|^2 = \frac{1}{a_2} \sum_{n=0}^{\infty} a_n r^{2n}. \quad (3.5)$$

Now, let us calculate the left side of (3.5). A careful verification shows that

$$\begin{aligned} \|z_1^2 \circ \varphi_r \cdot K_r\|^2 &= \left\| \left( \frac{r - z_1}{1 - rz_1} \right)^2 K_r \right\|^2 \\ &= \left\| (r - z_1)^2 \left[ \sum_{n=0}^{\infty} (n+1)(rz_1)^n \right] \left[ \sum_{m=0}^{\infty} a_m (rz_1)^m \right] \right\|^2 \\ &= \|r^2 + (r^2(2r + a_1r) - 2r)z_1 \\ &\quad + \sum_{n=2}^{\infty} r^{n-2} (r^4 \sum_{j=1}^{n+1} j a_{n+1-j} - 2r^2 \sum_{j=1}^n j a_{n-j} + \sum_{j=1}^{n-1} j a_{n-1-j}) z_1^n\|^2 \end{aligned}$$

Now, set  $b_n = \sum_{j=1}^{n-1} ja_{n-1-j}$ , and the above equation can be simplified as follows.

$$\begin{aligned}
& \|z_1^2 \circ \varphi_r \cdot K_r\|^2 \\
&= \|r^2 + (r^2(2r + a_1r) - 2r)z_1 + \sum_{n=2}^{\infty} r^{n-2}(r^4b_{n+2} - 2r^2b_{n+1} + b_n)z_1^n\|^2 \\
&= r^4 + [r^2(2r + a_1r) - 2r]^2 \frac{1}{a_1} + \sum_{n=2}^{\infty} [r^{n-2}(r^4b_{n+2} - 2r^2b_{n+1} + b_n)]^2 \frac{1}{a_n} \\
&= r^4 + [r^3(2 + a_1) - 2r]^2 \frac{1}{a_1} + \sum_{n=2}^{\infty} r^{2n-4} [r^8b_{n+2}^2 \\
&\quad - 4r^6b_{n+2}b_{n+1} + r^4(4b_{n+1}^2 + 2b_{n+2}b_n) - 4r^2b_{n+1}b_n + b_n^2] \frac{1}{a_n} \\
&= \frac{b_2^2}{a_2} + r^2 \left( \frac{4}{a_1} - \frac{4b_3b_2}{a_2} + \frac{b_3^2}{a_3} \right) \\
&\quad + \sum_{n=2}^{\infty} r^{2n} \left[ \frac{b_{n+2}^2}{a_{n+2}} + C(a_1, \dots, a_{n+1}, b_2, \dots, b_{n+2}) \right],
\end{aligned}$$

where  $C(a_1, \dots, a_{n+1}, b_1, \dots, b_{n+2})$  can be uniquely expressed by  $\{a_i\}_{i=1}^{n+1}$  and  $\{b_i\}_{i=2}^{n+2}$ . Now comparing the coefficients of  $r^2$  in both sides of (3.5), we have

$$\frac{4}{a_1} - \frac{2 \cdot 2(2+a_1)}{a_2} + \frac{(2+a_1)^2}{a_3} = \frac{1}{a_2}. \quad (3.6)$$

When  $a_1 = 1$ , combining (3.4) with (3.6), we have

$$a_2 = 1 = \frac{a_1(a_1+1)}{2}$$

Hence, by (3.3) and (3.7), the equality  $a_2 = \frac{a_1(a_1+1)}{2}$  is always true.

And now we assume that  $a_j$  is uniquely expressed by  $a_1$  for  $1 < j \leq m$ . To prove  $a_{m+1}$  is uniquely expressed by  $a_1$ , we compare the coefficient of  $r^{2(m-1)}$  in both sides of (3.5).

$$\frac{a_{m-1}}{a_2} = \frac{b_{m+1}^2}{a_{m+1}} + C(a_1, \dots, a_m, b_2, \dots, b_{m+1}).$$

By the definition of  $b_i$ , we know that  $b_i$  is uniquely expressed by  $\{a_j\}_{j=1}^{i-2}$ . By the inductive assumption, both  $a_{m-1}$  and  $C(a_1, \dots, a_m, b_2, \dots, b_{m+1})$  are uniquely expressed by  $a_1$ , and so is  $a_{m+1}$ . Thus the claim is proved.

Set  $\mu = a_1$ . By section 2, if

$$K_\lambda(z) = \frac{1}{(1 - \langle z, \lambda \rangle)^\mu} = 1 + \mu \langle z, \lambda \rangle + \sum_{n=2}^{\infty} \frac{\mu(\mu+1) \cdots (\mu+n-1)}{n!} \langle z, \lambda \rangle^n,$$

then  $V_\lambda$  is unitary. The above reasoning thus shows that

$$a_n = \frac{\mu(\mu+1) \cdots (\mu+n-1)}{n!}.$$

This means  $K_\lambda(z) = \frac{1}{(1 - \langle z, \lambda \rangle)^\mu}$ , which implies that  $k_\lambda = \frac{(1-|\lambda|^2)^{\frac{\mu}{2}}}{(1 - \langle \cdot, \lambda \rangle)^\mu}$ . □

**Proposition 3.2.** *Let  $H$  and  $H'$  be two unitary invariant reproducing function spaces on  $\mathbb{B}_d$  with the reproducing kernels  $K_\lambda$  and  $K'_\lambda$  relatively. If*

$$\|f \circ \varphi_\lambda \cdot k'_\lambda\| = \|f\| \quad \text{for } \forall f \in H,$$

*then  $H = H'$ , and hence by Theorem 3.1  $H = H_\mu^2(\mathbb{B}_d)$  for some  $\mu > 0$ .*

**Proof.** Write  $K_\lambda(z) = \sum_{n=0}^{\infty} a_n \langle z, \lambda \rangle^n$  and  $K'_\lambda(z) = \sum_{n=0}^{\infty} b_n \langle z, \lambda \rangle^n$ . Denote the inner product of  $H$  by  $\|\cdot\|$  and the inner product of  $H'$  by  $\|\cdot\|'$ . Since  $\|1\| = 1$ , we have

$$\|1 \circ \varphi_\lambda \cdot k'_\lambda\|^2 = \left\| \frac{K_\lambda}{\|K'_\lambda\|'} \right\|^2 = 1.$$

On the one hand, since  $\langle z^\alpha, z^\beta \rangle = 0$  whenever  $\alpha \neq \beta$ ,

$$\|K'_\lambda\|^2 = \sum_{n=0}^{\infty} b_n \|\langle z, \lambda \rangle^n\|^2.$$

On the other hand

$$\|K'_\lambda\|'^2 = \sum_{n=0}^{\infty} b_n |\lambda|^{2n}.$$



Hence

$$\sum_{n=0}^{\infty} b_n \|\langle z, \lambda \rangle^n\|^2 = \sum_{n=0}^{\infty} b_n |\lambda|^{2n}.$$

Taking  $\lambda = (r, 0 \cdots, 0)$ , we know  $\|z_1^n\|^2 = \frac{1}{b_n}$ . By [GHX, Proposition 4.1],  $\frac{1}{a_n} = \|z_1^n\|^2 = \frac{1}{b_n}$ , and hence  $K_\lambda = K'_\lambda$ , which implies  $H = H'$ .  $\square$

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